



# Investment Management & Distribution Frameworks

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Accessing Global Financial Platforms and Investor Markets

Regulatory frameworks and licensing solutions  
designed to enable compliant cross-border  
investment management and investor  
distribution.

Prepared for  
**SOPHISTICATED  
INVESTORS, ASSET  
MANAGERS, AND CAPITAL  
RAISING CLIENTS**

[www.dealfc.com](http://www.dealfc.com)

Prepared by  
**Deal Flow Capital**

# Enabling Global Investment Management and Investor Distribution

Many investment strategies and businesses develop compelling opportunities but face challenges when attempting to access global investor markets. In many cases, the challenge is not the opportunity itself, but the ability to operate within the appropriate financial and regulatory frameworks required for professional investor distribution.

Deal Flow Capital advises founders, asset managers, and investment strategies on the most appropriate operational frameworks required to manage investment vehicles and present opportunities to sophisticated and high-net-worth investors across international markets.

Through partnerships with licensed asset managers and financial institutions, we provide access to investment management and distribution frameworks across multiple jurisdictions. These arrangements enable strategies to operate within established financial infrastructure while maintaining operational flexibility.

Our role is to help clients align their investment structure, governance framework, and distribution approach so that opportunities can be positioned appropriately for sophisticated investors.

## Investment Management Frameworks

Operating an investment vehicle typically requires access to an appropriate investment management structure that can support portfolio management, administration, and operational oversight.

Through our network of licensed partners and financial institutions, Deal Flow Capital facilitates access to investment management frameworks that allow strategies to operate across multiple jurisdictions without requiring founders or strategy managers to establish standalone licensed entities.

This allows investment strategies to focus on execution and performance while operating within established financial infrastructure.



### KEY DISTRIBUTION FRAMEWORKS INCLUDE:

#### **DFSA Distribution License**

Dubai International Financial Centre (DIFC), UAE

Enabling investor outreach throughout the Middle East.

#### **FINMA-related regulatory frameworks**

Supporting distribution within Switzerland and selected European jurisdictions.

#### **Australian Financial Services License (AFSL)**

Providing compliant distribution capabilities within the Australian market.

### BENEFITS OF THE LICENSING STRUCTURE

- Regulatory alignment across multiple jurisdictions
- Access to sophisticated and high-net-worth investors
- Reduced operational complexity for investment managers
- Efficient cross-border distribution capabilities
- Structured and compliant investor outreach

THANK YOU

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# Let's Get Work Together



## CONTACT US

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